

Pennsylvania State System of Higher Education
Compliance with United States Export Control Laws

Supplemental Information

Appendices

Appendix A
Export Controlled Areas/Activities

High Risk Areas

[EAR Commerce Control List](#)

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1
2
3

Appendix B
An Additional Exemption under ITAR

The ITAR Exemption for Disclosures to Bona Fide Full-time Regular Employees

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Appendix C

Guidance For Export Controlled Research

A. Institutional Reviews

Export Control

B. Export Licensing

Appendix D Guidance For Travel

A. General

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'VSHFLDOO\ GHVLJQDWHG QDWLRQDOV

VWUDWRUV PD\ FRQVXOW ZLWK WKH 6\VWHP·V ([SRUW & RQW

B. Conferences

research or educational

D. Laptop computer, PDA, cell phone, and data storage devices.

controlled

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'(IIHFWLYH FRQWUROμ PHDQV UHWDL

E. Other University Equipment

F. License Exceptions And Exemptions Related To Travel Outside The U.S.
A License Exemption

research

non-research

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Appendix E
Technology Control Plans

activity

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Appendix F
Forms and Processes

1. FUNDAMENTAL RESEARCH EXCLUSION REVIEW For Researchers

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	YES	NO	'R Q.' Know

3. EXPORT CONTROLS DECISION TREE for SRO

IRUPV RU SURFHVV SOHDVH FRQWDFW \RXU XQLYHUVLW\·V VSRQVRUHG

Yes

Detailed description of item to which this certification applies:

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D S S U R Y D O I U R P 3 \$ 6 6 + (. V ([S R U W & R Q W U R O 2 I I L F H U L Q Z U L W L Q J

U H F H L Y H G D S S U R Y D O I U R P 3 \$ 6 6 + (. V ([S R U W & R Q W U R O 2 I I L F H U L Q Z

Please keep a signed copy with you when traveling abroad and another in your files.

6. TRAVEL OUTSIDE THE U.S. WITH PERSONAL-OWNED ELECTRONIC DEVICES
(BAGGAGE EXCEPTION) CERTIFICATION FOR LICENSE EXCEPTION
for Export Administration Regulations (EAR) Controlled Items, Technology, and
Software

² Authorizes an individual leaving the US either temporarily or long-term... to take to any destination or series of destinations, the classes of commodities and software described in this section, for the personal use of the individuals or members of their immediate families traveling with them provided the transaction meets all to the criteria in EAR Part 740.14.



is otherwise controlled *exception*

IRUPV RU SURFHVV SOHDVH FRQWDFW \RXU XQLYHUVLW\·V GHVLJQDWH

&RPPHUFH·V ([SRUW \$GPLQLVWUDWLRQ 5HJXODWLRQV (\$5 PDNHV DQ H

RI 6WDWH·V ,QWHUQDWLRQDO 7UDIILF LQ \$UPV 5HJXODWLRQV ,7\$5

Insert description here

Appendix G Definitions

Actual Export ²

Commerce Control List (CCL) -

Commodity Jurisdiction Ruling ²

Controlled Physical Items ²

Deemed Export ²

Defense Article ²

UHODWLQJ WR WKH SDUWLFXODU LWHP RU 'GHIHQVH DUWLFOHµ

Defense Service ²

Dual-use ²

'(\$5 µ7KH 'FDWFO FDWHJRU\µ WKDW DSSOLHV WR DQ\ JRRG RU V
WKH 'HSDUWPHQW RI &RPPHUFH·V ([SRUW \$GPLQLVWUDWLRQ 5HJ

Embargoed Countries ²

Export ²

[EAR](#) [ITAR](#)
\$V 'H[SRUWµ LV FXUUHC

Export Administration Regulations (EAR) ²

Export Control Officer (a.k.a. Empowered Official) ²

Foreign organization ²

Foreign National/ Foreign Person ²

Fundamental Research ²

International Traffic in Arms Regulations (ITAR) ² 7KH 6WDWH 'HSDUWPHQW·V UHJXO

Office of Foreign Assets Control (OFAC) ²

Public Domain ²

Published Information ²

Appendix H Scenarios

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WKH DFWLYLW\ LV QRW LQ WKH XQLYHUVLW\·V FRXUVH FD

JRYHUQPHQW·V VWDQGLQJ SUDFWLFH RI QRQ

6LQFH *HUPDQ\ LVQ.W D WHUURULVW FRXQWU\

Appendix I Links

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ON-TIME KRYMING

Office of Foreign Assets Control (OFAC)

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Universities Leading the Way in Export Control Compliance

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